

## Exhibit 300: Capital Asset Summary

### Part I: Summary Information And Justification (All Capital Assets)

#### Section A: Overview & Summary Information

**Date Investment First Submitted:** 2009-06-30  
**Date of Last Change to Activities:** 2012-08-28  
**Investment Auto Submission Date:** 2012-02-29  
**Date of Last Investment Detail Update:** 2012-04-25  
**Date of Last Exhibit 300A Update:** 2012-08-28  
**Date of Last Revision:** 2012-08-28

**Agency:** 020 - Environmental Protection Agency      **Bureau:** 00 - Agency-Wide Activity

**Investment Part Code:** 01

**Investment Category:** 00 - Agency Investments

**1. Name of this Investment:** FinRS - Financial Replacement System

**2. Unique Investment Identifier (Ull):** 020-000010126

#### Section B: Investment Detail

- 1. Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

EPA's mission is "to protect human health and the environment." To accomplish this mission, EPA relies on core financial management functions such as budget execution, accounting, payments and collections, financial statements, and financial accountability reports. The Financial Replacement Systems (FinRS) investment is the EPA Office of the Chief Financial Officer's (OCFO) ongoing modular approach.

- 2. How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.**

The Financial Replacement Systems (FinRS) investment is the EPA Office of the Chief Financial Officer's (OCFO) ongoing modular approach to replace its Legacy Financial Systems (LFS). In response to OCFO's operational analysis of LFS, users indicated the following shortcomings in EPA financial systems: 1) inconsistent data, 2) limited system interoperability, 3) information latency, 4) multiple reporting systems, 5) low system usability, 6) proliferation of cuff systems, and 7) costly maintenance. A new financial system will eliminate repetitive data entry, integrate systems, enable EPA staff to manage workflow among OCFO and between other Program Office related business lines (e.g., acquisitions

and grants management), and maximize use of enabling technologies, e-Government initiatives, and EPA enterprise initiatives. Also, it will enable effective decision making; ensure budgetary integrity; and establish financial control over obligations and costs, performance, other financial information resulting in accurate and comprehensive financial data. These changes align with OMB's commitment to improve Government efficiency and effectiveness through lowered costs and improved government performance. If the investment is not fully funded, the following program impacts may occur: - Reduced training, helpdesk, and end user support resulting in higher risk of incorrect or delayed transaction processing. - Delays in making payments. - Reduced financial reporting capability and subsequent delays in responding to information requests. - One-year delay in implementing other elements of the Financial Systems Modernization Program.

**3. Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.**

For the core financial system implementation, the project team completed systems test development, reports design and development, preliminary cutover analysis, system testing execution, integration design and environment configuration, and preparation activities for user training, dry run testing, user acceptance testing, and systems integration testing. For the Time and Attendance, Payroll Migration Project (TAPM), accomplishments include the project charter, staffing the integrated project team, and starting the process to re-verify the project requirements.

**4. Provide a list of planned accomplishments for current year (CY) and budget year (BY).**

Current Year: Cutover activities for the core financial system, with "go live" on October 21, 2011. For the Time and Attendance, Payroll Migration (TAPM) Project, the following accomplishments are planned: complete re-verification of project requirements, award contracts to support the project, adapt the Agency's system life cycle policy and procedures to the project, and complete the design phase. Budget Year: Continue TAPM development and migration. For systems in operations and maintenance, implement approved technology refreshments and minor enhancements, renew software licenses, provide refresher and new user training, and achieve the standards included in the Service Level Agreements.

**5. Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.**

2007-01-24

## Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding

	PY-1 & Prior	PY 2011	CY 2012	BY 2013
Planning Costs:	\$13.2	\$0.0	\$0.0	\$0.0
DME (Excluding Planning) Costs:	\$63.5	\$16.9	\$3.4	\$7.6
DME (Including Planning) Govt. FTEs:	\$21.7	\$6.2	\$2.5	\$3.5
Sub-Total DME (Including Govt. FTE):	\$98.4	\$23.1	\$5.9	\$11.1
O & M Costs:	\$45.3	\$3.9	\$14.6	\$14.1
O & M Govt. FTEs:	\$4.8	\$1.1	\$3.3	\$2.6
Sub-Total O & M Costs (Including Govt. FTE):	\$50.1	\$5.0	\$17.9	\$16.7
Total Cost (Including Govt. FTE):	\$148.5	\$28.1	\$23.8	\$27.8
Total Govt. FTE costs:	\$26.5	\$7.3	\$5.8	\$6.1
# of FTE rep by costs:	211	62	45	43
Total change from prior year final President's Budget (\$)		\$0.0	\$0.0	
Total change from prior year final President's Budget (%)		0.00%	0.00%	

**2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:**

The PY cost decreased by \$1.34M primarily due to lower than expected Working Capital Fund costs. The CY cost did not change.

## Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy

Contract Type	EVM Required	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	IDV Agency ID	Solicitation ID	Ultimate Contract Value (\$M)	Type	PBSA ?	Effective Date	Actual or Expected End Date
Awarded	6800	<a href="#">EPG11H00091</a>	GS35F0306J	4730							
Awarded	6800	<a href="#">EPW07024</a>									
Awarded	6800	<a href="#">EP0105</a>	GS35F0444K	4730							
Awarded	6800	<a href="#">EP11H000300</a>	GS06F0252Z	4730							
Awarded	6800	<a href="#">EPW11012</a>									
Awarded	6800	<a href="#">EPG11H00023</a>	GS35F0305N	4730							
Awarded	6800	<a href="#">EPG11H00154</a>	GS00Q09BGD0 022	4735							
Awarded	6800	<a href="#">0005</a>	EPW11016	6800							

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

## Exhibit 300B: Performance Measurement Report

### Section A: General Information

**Date of Last Change to Activities:** 2012-08-28

### Section B: Project Execution Data

**Table II.B.1 Projects**

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
10126A	Core Financial System	Core Financial System.			
10126E	Time & Attendance Payroll Migration	Time & Attendance Payroll Migration Development.			

**Activity Summary**

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M )	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
10126A	Core Financial System							
10126E	Time & Attendance Payroll Migration							

**Key Deliverables**

Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days )	Schedule Variance (%)
10126A	Core Financial System: System Cut Over	Core Financial System: System Cut Over	2011-11-01	2011-11-01	2011-11-01	92	0	0.00%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days )	Schedule Variance (%)
10126E	Time & Attendance Payroll Migration: Planning	Time & Attendance Payroll Migration: Planning	2012-01-31	2012-08-31		152	-213	-140.13%
10126A	Core Financial System: Project Close Out	Core Financial System: Project Close Out	2012-04-30	2012-08-31		181	-123	-67.96%

## Section C: Operational Data

Table II.C.1 Performance Metrics

Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
Average response time for user access requests	Hours	Customer Results - Timeliness and Responsiveness	Under target	6.400000	5.900000	9.480000	0.000000	Monthly
Average time elapsed between opening and closing a trouble ticket	Hours	Process and Activities - Productivity	Under target	3.000000	3.800000	3.100000	0.000000	Monthly
Maintain percent availability of core financial system during core hours	Percentage	Technology - Reliability and Availability	Over target	99.000000	99.000000	99.800000	99.500000	Monthly
Maintain unqualified audit opinion on agency financial statements	percentage	Mission and Business Results - Management of Government Resources	Over target	100.000000	100.000000	100.000000	100.000000	Semi-Annual
Customer satisfaction with financial system	1=dissatisfied, 5=very satisfied	Customer Results - Customer Benefit	Over target	3.000000	0.000000	0.000000	3.000000	Semi-Annual
Average response time for notifications of critical incidents or outages to EPA is within 30 minutes	Percentage	Technology - Reliability and Availability	Over target	90.000000	0.000000	0.000000	90.000000	Monthly
Average resolution rate of incidents	Business Days	Customer Results - Timeliness and Responsiveness	Under target	5.000000	0.000000	0.000000	5.000000	Monthly
Maintain percent of Non-credit card invoices paid on time	Percentage	Customer Results - Timeliness and Responsiveness	Over target	99.000000	99.000000	99.700000	0.000000	Monthly